



**DIVYANSHU SAHNI & ASSOCIATES  
COMPANY SECRETARIES**

Office: -314, 3rd Floor, Kirti Shikhar Building, District Centre, JanakPuri, New Delhi -110058

Email: [info@finlegalforte.com](mailto:info@finlegalforte.com) Mob; +91-9871027426

Website: [www.finlegalforte.com](http://www.finlegalforte.com), [www.csdivyanshu.com](http://www.csdivyanshu.com)

**Secretarial Compliance Report of AVON MERCANTILE LIMITED  
for the year ended 31<sup>ST</sup> MARCH 2022**

To,

The Board of Directors,

Avon Mercantile Limited,

Global Knowledge Park, 19-A & 19-B,

Sector-125, Noida, Gautam Buddha Nagar UP-201301

Dear Sir,

We have examined:

- a. all the documents and records made available to us and explanation provided by Avon Mercantile Limited (“the listed entity”),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022(“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);



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The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable for the F.Y 2021-2022)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable for the F.Y 2021-2022)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable for the F.Y 2021-2022)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I/We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -
- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those Records.
- c. As confirmed by management of the company, there are no actions taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.



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- d. Qualifications/observations made in the Secretarial Compliance Report of previous year were made good by the Company & no further comment is required over it.

**For Divyanshu Sahni & Associates**



Date: 24/05/2022

Place: Delhi

**(Divyanshu Sahni)**

**Company Secretary in Practice**

**COP No. – 18449**

**M. No. – FCS11737**

**UDIN: F011737D000376662**